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## Liquid Crystals

Publication details, including instructions for authors and subscription information:
http://www.informaworld.com/smpp/title content=t713926090

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Online publication date: 11 November 2010

To cite this Article Barratt, P. J. and Duffy, B. R.(1997) 'Freedericksz transitions in smectic liquid crystals in annular geometries', Liquid Crystals, 23: 4, 525-529
To link to this Article: DOI: 10.1080/026782997208118
URL: http://dx.doi.org/10.1080/026782997208118

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# Fréedericksz transitions in smectic liquid crystals in annular geometries 

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(Received 24 January 1997; accepted 16 May 1997)


#### Abstract

This paper investigates the stability of simple static orientation patterns in a sample of smectic liquid crystal confined to a cylindrical annulus, when a magnetic field is applied. Four different arrangements are considered, covering cases where the layer normal is everywhere either radial or axial, and the (orthogonal) magnetic field is either radial, azimuthal or axial. A classification is given of the threshold radii for mechanical instabilities, and of the threshold magnetic fields for Fréedericksz transitions for these cases, with strong anchoring at the boundaries.


## 1. Introduction

As is well known, a Fréedericksz transition occurs in a liquid crystal when, under an incrementally increasing applied magnetic or electric field, a simple initial equilibrium orientation pattern in the material begins to change to a more distorted pattern, at some critical value of the applied field. Theoretical studies of Fréedericksz transitions in nematic materials have been very successful in providing means of verifying experimentally the continuum theory of Ericksen and Leslie [1] for these materials, and of measuring the elastic constants that occur in that theory. In particular there has over the past three decades been a variety of studies concerning orientation patterns in nematic samples confined to a cylindrical annulus. For example, the early analyses of Leslie [2] and Atkin and Barratt [3] concerned, respectively, the case in which the material is initially aligned azimuthally with the magnetic field applied in a radial direction, and the case in which the initial alignment is axial and the applied magnetic field is either radial or azimuthal. For these set-ups, on the assumption of strong anchoring at the boundaries, critical magnetic fields at which Fréedericksz transitions occur were determined in terms of the elastic constants $k_{11}, k_{22}$ and $k_{33}$.
Much more recently Palffy-Muhoray et al. [4] considered the onset of purely mechanical instabilities in nematic samples in an annulus, their analysis indicating that, even with no applied field, an initial radial or

[^0]azimuthal alignment would be unstable if the outer radius were to exceed some critical value $r_{\mathrm{c}}$. In particular they showed that, when there is weak anchoring on at least one cylindrical boundary, $r_{\mathrm{c}}$ depends on the saddlesplay elastic constant $k_{24}$ as well as various anchoring coefficients and other elastic constants. Barratt and Duffy [5] extended their analysis to include the effects of magnetic fields: they presented a catalogue of the threshold fields $H_{\mathrm{c}}$ required to induce a Fréedericksz transition for all the six cases in which the (mutually orthogonal) magnetic field and initial director field are either radial, azimuthal or axial.

The success of studies of nematics in annular geometries has motivated work on analogous problems for smectic C materials. Atkin and Stewart [6], using the continuum theory for smectics recently formulated by Leslie et al. [7], considered the case in which the smectic layers form circular cylinders concentric with the boundaries, with the initial director orientation everywhere lying in the radial-axial plane and with the magnetic field applied azimuthally. They presented a non-linear study based on static theory, and showed that a more distorted state becomes energetically more favourable than the initial alignment when the field exceeds a critical value $H_{c}$. The relationship between $H_{c}$ and various material parameters provides a means of estimating certain elastic constants. Here we consider four arrangements in which the smectic layers are either concentric cylinders or circular discs, and the applied field is either radial, axial or azimuthal. (The number of possible arrangements of this type is less than for nematics due to the constraint that the angle between
the director and the layer normal is fixed.) After outlining in §2 the continuum theory of Leslie et al. [7], we consider in §3 the linear stability of the initial alignments with respect to time-dependent perturbations in the director and velocity fields. We catalogue both the critical radii for mechanical instabilities and the threshold magnetic fields for Fréedericksz transitions in all four cases (and we show agreement with the threshold field obtained by Atkin and Stewart [6] for the case they considered).

The continuum theory of Leslie et al. [7] for smectics involves nine elastic constants, and our results involve five of these constants; thus potentially these five could be determined by means of experimental arrangements of the type considered herein.

## 2. The continuum theory

Here we briefly summarize the equations proposed by Leslie et al. [7] to describe the isothermal behaviour of incompressible smectic C liquid crystals. Their continuum model assumes that these materials are uniformly layered structures with the average molecular orientation (represented by the director $\mathbf{n}$ ) having a uniform tilt angle $\alpha$ with respect to the unit normal a to the layer. Two orthonormal vectors are employed to describe this layered structure; one is a and the other is the unit orthogonal projection $\mathbf{c}$ of the director onto the smectic planes, so that

$$
\begin{equation*}
\mathbf{n}=\mathbf{a} \cos \alpha+\mathbf{c} \sin \alpha \tag{1}
\end{equation*}
$$

The relevant equations are then the constraints

$$
\begin{array}{r}
\mathbf{a} \mathbf{a}=\mathbf{c} \mathbf{c}=1, \\
\mathbf{a} \mathbf{c}=0, \\
\operatorname{curl} \mathbf{a}=\mathbf{0},  \tag{2}\\
\nabla \mathbf{v}=0,
\end{array}
$$

and, in Cartesian tensor notation, the balance laws

$$
\begin{gather*}
\rho \dot{v}_{i}=-\tilde{p}_{, i}+\tilde{g}_{j}^{a} a_{j, i}+\tilde{g}_{j}^{c} c_{j, i}+\tilde{q}_{i j, j},  \tag{3}\\
\left(\frac{\partial W}{\partial a_{i, j}}\right)-\frac{\partial^{W}}{\partial^{a_{i}}}+\tilde{g}_{i}^{a}+G_{i}^{a}+\varepsilon_{i j k} \beta_{k, j}+\gamma a_{i}+\kappa c_{i}=0 \tag{4}
\end{gather*}
$$

and

$$
\begin{equation*}
\left(\frac{\partial W}{\partial c_{i, j}}\right)-\frac{\partial W}{\partial c_{i}}+\tilde{g}_{i}^{c}+G_{i}^{c}+\kappa a_{i}+\tau c_{i}=0 \tag{5}
\end{equation*}
$$

where

$$
\begin{align*}
\tilde{p}= & -H_{\mathrm{m}}+p+W, \quad \tilde{t}_{i j}=\tilde{t}_{i j}^{s}+\tilde{t}_{\text {ss }}^{\mathrm{ss}}, \\
\tilde{t}_{i j}^{\mathrm{s}}= & \mu_{0} D_{i j}+\mu_{1} a_{p} D_{p}^{a} a_{i} a_{j}+\mu_{2}\left(D_{i}^{a} a_{j}+D_{j}^{a} a_{i}\right) \\
& +\mu_{3} c_{p} D_{p}^{c} c_{i} c_{j}+\mu_{4}\left(D_{i}^{c} c_{j}+D_{j}^{c} c_{i}\right) \\
& +\mu_{5} c_{p} D_{p}^{a}\left(a_{i} c_{j}+a_{j} c_{i}\right)+\lambda_{1}\left(A_{i} a_{j}+A_{j} a_{i}\right) \\
& +\lambda_{2}\left(C_{i} c_{j}+C_{j} c_{i}\right)+\lambda_{3} c_{p} A_{p}\left(a_{i} c_{j}+a_{j} c_{i}\right) \\
& +\kappa_{1}\left(D_{i}^{a} c_{j}+D_{j}^{a} c_{i}+D_{i}^{c} a_{j}+D_{j}^{c} a_{i}\right) \\
& +\kappa_{2}\left[a_{p} D_{p}^{a}\left(a_{i} c_{j}+a_{j} c_{i}\right)+2 a_{p} D_{p}^{c} a_{i} a_{j}\right] \\
& +\kappa_{3}\left[c_{p} D_{p}^{c}\left(a_{i} c_{j}+a_{j} c_{i}\right)+2 a_{p} D_{p}^{c} c_{i} c_{j}\right] \\
& +\tau_{1}\left(C_{i} a_{j}+C_{j} a_{i}\right)+\tau_{2}\left(A_{i} c_{j}+A_{j} c_{i}\right) \\
& +2 \tau_{3} c_{p} A_{p} a_{i} a_{j}+2 \tau_{4} c_{p} A_{p} c_{i} c_{j}, \\
\tilde{t}_{i j}^{\mathrm{s}=}= & \lambda_{1}\left(D_{j}^{a} a_{i}-D_{i}^{a} a_{j}\right)+\lambda_{2}\left(D_{j}^{c} c_{i}-D_{i}^{c} c_{j}\right) \\
& +\lambda_{3} c_{p} D_{p}^{a}\left(a_{i} c_{j}-a_{j} c_{i}\right)+\lambda_{4}\left(A_{j} a_{i}-A_{i} a_{j}\right)  \tag{6}\\
& +\lambda_{5}\left(C_{j} c_{i}-C_{i} c_{j}\right)+\lambda_{6} c_{p} A_{p}\left(a_{i} c_{j}-a_{j} c_{i}\right) \\
& +\tau_{1}\left(D_{j}^{a} c_{i}-D_{i}^{c} c_{j}\right)+\tau_{2}\left(D_{j}^{c} a_{i}-D_{i}^{c} a_{j}\right) \\
& +\tau_{3} a_{p} D_{p}^{a}\left(a_{i} c_{j}-a_{j} c_{i}\right)+\tau_{4} c_{p} D_{p}^{c}\left(a_{i} c_{j}-a_{j} c_{i}\right) \\
& +\tau_{5}\left(A_{j} c_{i}-A_{i} c_{j}+C_{j} a_{i}-C_{i} a_{j}\right), \\
\tilde{g}_{i}^{a}= & -2\left(\lambda_{1} D_{i}^{a}+\lambda_{3} c_{p} D_{p}^{a} c_{i}+\lambda_{4} A_{i}+\lambda_{6} c_{p} A_{p} c_{i}\right. \\
& \left.+\tau_{2} D_{i}^{c}+\tau_{3} a_{p} D_{p}^{a} c_{i}+\tau_{4} c_{p} D_{p}^{c} c_{i}+\tau_{5} C_{i}\right), \\
\tilde{g}_{i}^{c}= & -2\left(\lambda_{2} D_{i}^{c}+\lambda_{5} C_{i}+\tau_{1} D_{i}^{a}+\tau_{5} A_{i}\right), \\
D_{i}^{a}= & D_{i j} a_{j}, \quad D_{i}^{c}=D_{i j} c_{j}, \\
2 D_{i j}= & v_{i, j}+v_{j, i}, \quad A_{i}=\dot{a}_{i}-W_{i j} a_{j}, \\
C_{i}= & \dot{c}_{i}-W_{i j} c_{j}, \quad 2 W_{i j}=v_{i j}-v_{j, i} .
\end{align*}
$$

Here $\mathbf{v}$ is the velocity, $\rho$ is the constant density, $\varepsilon_{i j k}$ is the alternator and a superposed dot indicates a material time derivative. The quantities $p, \gamma, \tau, \kappa$ and $\beta$ are arbitrary functions of $\mathbf{x}$ and time $t$, and are effectively Lagrange multipliers arising from the constraints (2). When a magnetic field $\mathbf{H}$ is present the generalized body forces $\mathbf{G}^{a}$ and $\mathbf{G}^{c}$ take the forms

$$
\begin{equation*}
\mathbf{G}^{a}=\chi_{\mathrm{a}}(\mathbf{H} \mathbf{n}) \mathbf{H} \cos \alpha, \quad \mathbf{G}^{c}=\chi_{\mathrm{a}}(\mathbf{H} \mathbf{n}) \mathbf{H}_{\sin } \alpha \tag{7}
\end{equation*}
$$

where $\chi_{\mathrm{a}}$ denotes the anisotropic part of the magnetic susceptibility (assumed constant). Finally $H_{\mathrm{m}}$ represents the energy per unit volume due to the magnetic field, and $W$ is the bulk elastic energy per unit volume, taking the form [7, 8]

$$
\begin{align*}
2 W= & K_{1}^{a}\left(a_{i, i}\right)^{2}+K_{1}^{c}\left(c_{i, i}\right)^{2}+K_{2}^{a}\left(c_{i} a_{i, j} c_{j}\right)^{2}+K_{2}^{c} c_{i, j} c_{i, j} \\
& +K_{3}^{c} c_{i, j} c_{j} c_{i, k} c_{k}+2 K_{3}^{a} a_{i, i}\left(c_{j} a_{j, k} c_{k}\right) \\
& +2 K_{4}^{c} c_{i, j} c_{j} c_{i, k} a_{k}+2 K_{1}^{a c} c_{i, i}\left(c_{j} a_{j, k} c_{k}\right)+2 K_{2}^{a c} a_{i, i} c_{j, j} . \tag{8}
\end{align*}
$$

The theory thus provides 16 equations (2)-(5) to determine the sixteen variables $a_{i}, c_{i}, v_{i}, \beta_{i}, p, \gamma, \kappa$ and $\tau$.

## 3. Linear stability problems

Suppose a sample of smectic liquid crystal is confined between two fixed coaxial circular cylinders of radii $r_{1}$ and $r_{2}$ (where $r_{2}>r_{1}$ ), so that the smectic layers are either circular cylinders concentric with the boundaries or are discs perpendicular to the axis of the boundaries. (Roughly speaking, these alignments correspond, respectively, to the so-called homogeneous alignment and bookshelf alignment occurring in a 'planar' cell.) We consider the effect of applying a magnetic field $\mathbf{H}$ to such an arrangement, the applied field being radial, azimuthal or axial, and also everywhere perpendicular to the initial alignments of $\mathbf{a}$ and $\mathbf{c}$. Specifically, referred to a polar coordinate system $r, \phi, z$, with associated orthonormal basis $\mathbf{e}_{r}, \mathbf{e}_{\phi}, \mathbf{e}_{z}$, we shall be concerned with the following initial static states and associated applied magnetic fields:

$$
\begin{aligned}
& \text { I. } \quad \mathbf{a}_{0}=\mathbf{e}_{r}, \mathbf{c}_{0}=\mathbf{e}_{\phi}, \mathbf{H}=H \mathbf{e}_{z}, \\
& \text { II. } \mathbf{a}_{0}=\mathbf{e}_{r}, \mathbf{c}_{0}=\mathbf{e}_{z}, \mathbf{H}=H\left(r_{1} / r\right) \mathbf{e}_{\phi}, \\
& \text { III. } \mathbf{a}_{0}=\mathbf{e}_{z}, \mathbf{c}_{0}=\mathbf{e}_{r}, \mathbf{H}=H\left(r_{1} / r\right) \mathbf{e}_{\phi}, \\
& \text { IV. } \mathbf{a}_{0}=\mathbf{e}_{z}, \mathbf{c}_{0}=\mathbf{e}_{\phi}, \mathbf{H}=H\left(r_{1} / r\right) \mathbf{e}_{r},
\end{aligned}
$$

H being a constant in each case (with the physical dimensions of a magnetic field). Each of these states is an equilibrium solution of the equations given above. [Of course, it may be easier experimentally to establish, for example, a radial electric field, rather than a radial magnetic field; however, since a linear stability analysis for these two arrangements results in the same mathematical problem, we refer only to the case of a magnetic field.]

We wish to determine the stability of these states to small-amplitude perturbations $\tilde{\mathbf{c}}$ and $\tilde{\mathbf{v}}$ to $\mathbf{c}$ and $\mathbf{v}$, it being assumed that the positions of the smectic layers are unaffected (so that $\mathbf{a}$ is unchanged). We thus have

$$
\begin{equation*}
\mathbf{a}=\mathbf{a}_{0}, \quad \mathbf{c}=\mathbf{c}_{0}+\tilde{\mathbf{c}}, \quad \mathbf{v}=\tilde{\mathbf{v}}, \tag{9}
\end{equation*}
$$

with $\tilde{\mathbf{c}}$ and $\tilde{\mathbf{v}}$ small in magnitude. If strong anchoring and no-slip conditions hold on the bounding cylinders we also have

$$
\begin{equation*}
\tilde{\mathbf{c}}=\mathbf{0}, \quad \tilde{\mathbf{v}}=\mathbf{0} \quad \text { on } r=r_{1} \text { and on } r=r_{2} \tag{10}
\end{equation*}
$$

Consider the basic state I. For this case it is appropriate to take the perturbations $\tilde{\mathbf{c}}=\tilde{\mathbf{c}}_{\text {I }}$ and $\tilde{\mathbf{v}}=\tilde{\mathbf{v}}_{\text {I }}$ to have physical components of the form

$$
\begin{equation*}
\tilde{\mathbf{c}}_{\mathrm{I}}=(0,0, \theta(r)) \mathrm{e}^{\sigma t}, \quad \tilde{\mathbf{v}}_{\mathrm{I}}=(0,0, v(r)) \mathrm{e}^{\sigma t} \tag{11}
\end{equation*}
$$

it being assumed that any instability is spatially homogeneous, so that these quantities depend on the radial coordinate $r$ but not on $\phi$ or $z$. Then with $\mathbf{a}, \mathbf{c}$ and $\mathbf{v}$ as
given in equation (9) the constraints (2) are satisfied identically, while the field equations (3)-(5) reduce to a pair of coupled ordinary differential equations to determine $\theta(r)$ and $v(r)$, namely

$$
\begin{equation*}
\frac{\mathrm{d}^{2} \theta}{\mathrm{~d} r^{2}}+\frac{1}{r} \frac{\mathrm{~d} \theta}{\mathrm{~d} r}+\left(\frac{h_{\mathrm{I}}+k_{\mathrm{I}}}{r^{2}}-\frac{2 \lambda_{5} \sigma}{K_{2}^{c}}\right) \theta+\frac{\left(\tau_{5}-\tau_{1}\right)}{K_{2}^{c}} \frac{\mathrm{~d} v}{\mathrm{~d} r}=0 \tag{12}
\end{equation*}
$$

and
where

$$
\begin{gather*}
h_{\mathrm{I}}=\left(\chi_{\mathrm{a}} H^{2} r^{2} \sin ^{2} \alpha\right) / K_{2}^{c}, \\
k_{\mathrm{I}}=\left(K_{2}^{c}+2 K_{3}^{c}+2 K_{2}^{a}+2 K_{3}^{a}\right) / K_{2}^{c} . \tag{14}
\end{gather*}
$$

To make progress with these equations, we will now assume $\dagger$ that there is an 'exchange of stabilities' at any transition, the implication being that critical values for the onset of any instability correspond to $\sigma=0$ (thus precluding the possibility of an oscillatory instability, with $\sigma$ purely imaginary). Setting $\sigma=0$ in equation (13) leads simply to $v=0$, while equation (12) becomes

$$
\begin{equation*}
r^{2} \frac{\mathrm{~d}^{2} \theta}{\mathrm{~d} r^{2}}+r \frac{\mathrm{~d} \theta}{\mathrm{~d} r}+b_{\mathrm{J}} \theta=0 \tag{15}
\end{equation*}
$$

for $\mathrm{J}=\mathrm{I}$, where

$$
\begin{equation*}
b_{\mathrm{J}}=h_{\mathrm{J}}+k_{\mathrm{J}} . \tag{16}
\end{equation*}
$$

Equation (15) is to be solved subject to

$$
\begin{equation*}
\theta=0 \quad \text { on } r=r_{1} \text { and on } r=r_{2} \tag{17}
\end{equation*}
$$

In cases II, III and IV, the analysis is somewhat similar; the corresponding perturbation fields are

$$
\begin{equation*}
\tilde{\mathbf{c}}_{\mathrm{II}}=\tilde{\mathbf{c}}_{\mathrm{III}}=(0, \theta(r), 0) \mathrm{e}^{\sigma t}, \quad \tilde{\mathbf{c}}_{I \mathrm{~V}}=(\theta(r), 0,0) \mathrm{e}^{\sigma t}, \tag{18}
\end{equation*}
$$

and in each case if an exchange of stabilities is assumed then $\theta(r)$ satisfies equations (15) and (17), with $\mathrm{J}=\mathrm{II}$,

[^1]III or IV and with $b_{\text {J }}$ given by (16), where

$$
\begin{align*}
& h_{\mathrm{II}}=\left(\chi_{\mathrm{a}} H^{2} r_{1}^{2} \sin ^{2} \alpha\right) / K_{2}^{c}, \\
& h_{\mathrm{III}}=\left(\chi_{\mathrm{a}} H^{2} r_{1}^{2} \sin ^{2} \alpha\right) /\left(K_{2}^{c}+K_{3}^{c}\right), \\
& h_{\mathrm{IV}}=\left(\chi_{\mathrm{a}} H^{2} r_{1}^{2} \sin ^{2} \alpha\right) /\left(K_{1}^{c}+K_{2}^{c}\right), \\
& k_{\mathrm{II}}=-\left(K_{2}^{c}+2 K_{3}^{a}\right) / K_{2}^{c},  \tag{19}\\
& k_{\mathrm{III}}=\left(K_{1}^{c}-K_{3}^{c}\right) /\left(K_{2}^{c}+K_{3}^{c}\right), \\
& k_{\mathrm{IV}}=\left(K_{3}^{c}-2 K_{2}^{c}-K_{1}^{c}\right) /\left(K_{1}^{c}+K_{2}^{c}\right) .
\end{align*}
$$

We note that $h_{\mathrm{II}}, h_{\mathrm{III}}, h_{\mathrm{IV}}$ and the $k_{\mathrm{J}}$ are constants, whereas $h_{\mathrm{I}}$ depends on $r$ (unless $H=0$ ).

## 4. Mechanical instabilities

We first consider the possibility of a so-called mechanical instability, which corresponds to the physical system finding a state of lower static energy by distorting away from an initial state even when $H=0$. In each of the cases I-IV, the differential equation governing the instability (obtained from (15) by putting $h_{\mathrm{J}}=0$ ) is homogeneous in $r$, and may readily be solved by means of the change of variable

$$
\begin{equation*}
r=r_{1} \mathrm{e}^{l s}, \quad l=\ln \left(r_{2} / r_{1}\right) . \tag{20}
\end{equation*}
$$

The problem then reduces to that of solving the constant-coefficient equation

$$
\begin{equation*}
\frac{\mathrm{d}^{2} \theta}{\mathrm{~d} s^{2}}+p_{\mathrm{J}} \theta=0, \quad p_{\mathrm{J}}=l^{2} b_{\mathrm{J}} \tag{21}
\end{equation*}
$$

for $\mathrm{J}=\mathrm{I}$, II, III or IV, subject to

$$
\begin{equation*}
\theta=0 \quad \text { on } s=0 \text { and on } s=1 ; \tag{22}
\end{equation*}
$$

here $b_{\mathrm{J}}=k_{\mathrm{J}}$, since $H=0$. It is thus found that for given values of $r_{1}$ and the material parameters, there is a threshold outer radius $r_{2}=r_{\mathrm{c}}$ given by $p_{\mathrm{J}}=\pi^{2}$ beyond which a mechanical instability will occur. Explicitly, the threshold radii for the four cases are given by

$$
\begin{equation*}
r_{\mathrm{c}}=r_{1} \exp \left[\pi /\left(k_{\mathrm{J}}\right)^{1 / 2}\right], \tag{23}
\end{equation*}
$$

for $\mathbf{J}=\mathrm{I}$, II, III or IV, provided, of course, that $k_{\mathrm{J}}$ is positive. $\dagger$

## 5. Fréedericksz transitions

We now turn our attention to the occurrence of Fréedericksz transitions induced by the application of magnetic fields. Of course, to ensure that the initial static states I-IV are realizable before the field is applied (i.e. with $H=0$ ) it is necessary to choose $r_{2}<r_{\mathrm{c}}$; then the application of an incrementally increasing $H$ should lead

[^2]to a transition. In cases II-IV, the differential equation (15) reduces under (20) to (21), the constant $p_{\mathrm{J}}$ now involving a contribution from $H$. It is found that the threshold fields $H_{\mathrm{c}}$ are given by
\[

$$
\begin{equation*}
b_{\mathrm{J}}=(\pi / l)^{2} \tag{24}
\end{equation*}
$$

\]

for $\mathbf{J}=\mathrm{II}$, III, IV, with $b_{\mathrm{J}}$ as in equations (16) and (19). The result for case II agrees with that of Atkin and Stewart [6], obtained via consideration of static energies (and given in a different notation in [6]).

Case I is slightly different in that, although equation (15) for $\mathrm{J}=\mathrm{I}$ reduces under (20) to an equation of the form (21), the coefficient $p_{\mathrm{I}}$ is not a constant, but depends on $s$ (for $H \neq 0$ )-so the solution is not as for the other cases. Writing equation (15) in the form

$$
\begin{equation*}
\frac{\mathrm{d}^{2} \theta}{\mathrm{~d} r^{2}}+\frac{1}{r} \frac{\mathrm{~d} \theta}{\mathrm{~d} r}+\left(k_{\mathrm{I}}+h \frac{r^{2}}{d^{2}}\right) \frac{\theta}{r^{2}}=0 \tag{25}
\end{equation*}
$$

where

$$
\begin{equation*}
d=r_{2}-r_{1}, \quad h=\left(\chi_{\mathrm{a}} H^{2} d^{2} \sin ^{2} \alpha\right) / K_{2}^{c} \tag{26}
\end{equation*}
$$

we proceed in two complementary ways. First we obtain results based on a 'narrow gap' approximation, and secondly we consider an exact solution for the case $k_{\mathrm{I}} \leqslant 0$.

With a new variable $x$ and a parameter $\delta$ defined by

$$
\begin{equation*}
r=r_{1}(1+\delta x), \quad \delta=d / r_{1} \tag{27}
\end{equation*}
$$

the problem becomes that of solving

$$
\begin{equation*}
\frac{\mathrm{d}^{2} \theta}{\mathrm{~d} x^{2}}+\frac{\delta}{1+\delta x} \frac{\mathrm{~d} \theta}{\mathrm{~d} x}+\left[\frac{k_{1} \delta^{2}}{(1+\delta x)^{2}}+h\right] \theta=0 \tag{28}
\end{equation*}
$$

subject to the conditions

$$
\begin{equation*}
\theta=0 \quad \text { on } x=0 \text { and on } x=1 . \tag{29}
\end{equation*}
$$

For a narrow gap we take $\delta_{\ll 1}$ and seek a solution of the form

$$
\begin{gather*}
\theta=\theta_{0}(x)+\delta \theta_{1}(x)+\delta^{2} \theta_{2}(x)+\ldots, \\
h=h_{0}+\delta h_{1}+\delta^{2} h_{2}+\ldots \tag{30}
\end{gather*}
$$

Substituting equation (30) into (28) and equating corresponding powers of $\delta$ we obtain a sequence of problems of the form

$$
\begin{equation*}
\frac{\mathrm{d}^{2} \theta_{i}}{\mathrm{~d} x^{2}}+h_{0} \theta_{i}=R_{i} \quad(i=0,1,2, \ldots) \tag{31}
\end{equation*}
$$

with

$$
\begin{equation*}
\theta_{i}=0 \quad \text { on } x=0 \text { and on } x=1 \tag{32}
\end{equation*}
$$

where

$$
\begin{gathered}
R_{0}=0, \quad R_{1}=-h_{1} \theta_{0}-\frac{\mathrm{d} \theta_{0}}{\mathrm{~d} x}, \\
R_{2}=-h_{1} \theta_{1}-h_{2} \theta_{0}-\frac{\mathrm{d} \theta_{1}}{\mathrm{~d} x}+x \frac{\mathrm{~d} \theta_{0}}{\mathrm{~d} x}-k_{\mathrm{I}} \theta_{0}, \\
R_{3}=-h_{1} \theta_{2}-h_{2} \theta_{1}-h_{3} \theta_{0}-\frac{\mathrm{d} \theta_{2}}{\mathrm{~d} x}+x \frac{\mathrm{~d} \theta_{1}}{\mathrm{~d} x}-x^{2} \frac{\mathrm{~d} \theta_{0}}{\mathrm{~d} x} \\
-k_{\mathrm{I}} \theta_{1}+2 k_{\mathrm{I}} x \theta_{0}, \ldots
\end{gathered}
$$

A straightforward integration of these equations yields the threshold field

$$
\begin{equation*}
h=\pi^{2}-\left(k_{\mathrm{I}}+\frac{1}{4}\right) \delta^{2}+\left(k_{\mathrm{I}}+\frac{1}{4}\right) \delta^{3}+\ldots . \tag{33}
\end{equation*}
$$

In the limit of a very narrow gap $(\delta \rightarrow 0)$, case I becomes equivalent to the problem of a Fréedericksz transition in a smectic sample in 'homogeneous alignment' held between parallel plates, when $\mathbf{a}$ is normal to the plates, $\mathbf{c}_{0}$ is parallel to the plates, and the applied field is parallel to the plates and normal to $\mathbf{c}_{0}$. For this latter problem the threshold field is given by $h=\pi^{2}$; equation (33) shows that the correction to this (associated with the curvature of the annular geometry) comes in only at $O\left(\delta^{2}\right)$, and involves only the elastic constants in $k_{\text {I }}$.

Lastly we consider case I when $k_{I} \leqslant 0$. Equation (25) has the general solution

$$
\begin{equation*}
\theta(r)=A J_{q}\left(h^{1 / 2} r / d\right)+B Y_{q}\left(h^{1 / 2} r / d\right), \tag{34}
\end{equation*}
$$

where $q=\left(-k_{\mathrm{I}}\right)^{1 / 2}$, and $J_{q}$ and $Y_{q}$ denote Bessel functions. Application of the boundary conditions (17) yields the relationship

$$
\begin{equation*}
J_{q}\left(h^{1 / 2} r_{1} / d\right) Y_{q}\left(h^{1 / 2} r_{2} / d\right)-J_{q}\left(h^{1 / 2} r_{2} / d\right) Y_{q}\left(h^{1 / 2} r_{1} / d\right)=0 \tag{35}
\end{equation*}
$$

to determine $h$. This is rather cumbersome, but in the special event that $k_{\mathrm{I}}=-\frac{1}{4}$ the solution (34) simplifies to

$$
\begin{align*}
\left.\begin{array}{rl}
\theta= & \frac{1}{r^{1 / 2}}\left\{A _ { 1 } \operatorname { c o s } \left[h^{1 / 2}\left(\frac{r-r_{1}}{r_{2}-r_{1}}\right)\right.\right. \\
& +B_{1} \sin \left[h^{1 / 2}\left(\frac{r}{r_{2}-r_{1}}\right)\right] \\
\text { tary condition (17) then give }
\end{array}\right]_{1}=0 \tag{36}
\end{align*}
$$

The boundary conditios (17) then give $\Lambda_{1}=0$, and it is found that the threshold field $h$ is given by $h=\pi^{2}$.

## 6. Summary and discussion

We have presented a catalogue of the threshold magnetic fields $H_{\mathrm{c}}$ required to induce a Fréedericksz transition in a smectic sample confined to a cylindrical annulus. Various configurations of initial director pattern and of the applied field have been studied. The
threshold fields are given by equations (24), (16) and (19) for cases II, III and IV, and by equations (33) or (35) with (14) and (26) for case I. The $H_{\mathrm{c}}$ depend on five distinct combinations of the nine elastic constants, so observation of critical phenomena in the experimental arrangements considered here should provide a means of measuring these combinations, and hence of deducing values of $K_{1}^{c}, K_{2}^{c}, K_{3}^{c}, K_{2}^{a}$ and $K_{3}^{a}$. For cases where a mechanical instability is possible, it is necessary in an experiment to ensure that the outer radius $r_{2}$ does not exceed the appropriate critical radius $r_{\mathrm{c}}$. Additionally, we note that the existence of a threshold $r_{\mathrm{c}}$ means that a Fréedericksz transition may be induced with a relatively small field $H$ : if the system is already 'near' a mechanical instability then only a small field will be needed to 'push' it into a transition. On the other hand, an approximation to the set-up for a purely mechanical instability may be achievable by means of an 'almost-cylindrical' cone in place of the outer cylinder; then the 'effective' radius will vary slowly along the axis of the system and at the point where it takes the critical value, a change of orientation pattern should be observable. Measurements of $r_{2}=r_{\mathrm{c}}$ at this point will provide an estimate of $k_{\mathrm{J}}$ via equation (23).

When the applied field is azimuthal, order-ofmagnitude arguments for nematics (see [9,5]) indicate that typically a line current of about 20 A would be needed to generate the critical field. If the elastic constants for smectics are of the same order of magnitude as those for nematics then the above analysis shows that a comparable value would be required for the smectic case; with a radial field, a similar critical value would be needed. In the case of an axial field Strigazzi [10] estimates that for a nematic 'cell' with $r_{1}=1 \mathrm{~mm}$ and $d=20 \mu \mathrm{~m}$, the critical field $H_{\mathrm{c}}$ will typically be about $2 \times 10^{5} \mathrm{Am}^{-1}$; one might expect a similar field for a smectic sample in such a cell. For larger values of $d / r_{1}$ this critical value will be smaller.

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[^1]:    $\dagger$ In analogous problems in nematics it can happen that the corresponding equations uncouple, and one can then prove that an exchange of stabilities will occur at a transition (see [9], for example). For the smectic problems considered herein such an uncoupling does not occur.

[^2]:    $\dagger$ Although the nine elastic constants in $W$ are known to satisfy certain inequalities, the signs of the $k_{\mathrm{J}}$ are unknown at present; if $k_{\mathrm{J}}<0$ then there can be no mechanical instability.

